

Attorney's Docket No.: 42390P10312PatentIn re the Application of: Douglas E. Crafts

(inventor(s))

Application No.: 09/738,686Filed: December 15, 2000For: ALIGNMENT OF FIBER OPTIC BUNDLE TO ARRAY WAVEGUIDE USING PINS

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

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No additional fee is required.

The fee has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | (Col. 3) |
|---------------|---|-------|---------------------------------|---------------|
| | Claims Remaining After Amd. | | Highest No. Previously Paid For | Present Extra |
| Total Claims | 15 | Minus | 20 | |
| Indep. Claims | 3 | Minus | 3 | |
| | First Presentation of Multiple Dependent Claim(s) | | | |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

| SMALL ENTITY | |
|----------------|----------------|
| Rate | Additional Fee |
| X9 | \$ |
| X42 | \$ |
| +140 | \$ |
| Total Add. Fee | \$ |

| OTHER THAN A SMALL ENTITY | |
|---------------------------|----------------|
| Rate | Additional Fee |
| X18 | \$ |
| X84 | \$ |
| +280 | \$ |
| Total Add. Fee | \$ 0 |

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_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
_____ 37 C.F.R. § 1.136(a).
_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.
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 X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
 extra claims.
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Date: January 7, 2003

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